

07/30/2004 FRI 14:18 FAX 215 766 2920 TPL

001/005

PTO/SB/97 (08-09)

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*Patti Hespell*  
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PATTI HESPELL

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

1. 37 CFR 1.28(c) Notification (2 pgs.)
2. Fee Transmittal in duplication (2 pgs.)
3. Certificate of Transmission (1 pg.)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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T741-10

07/30/2004 FRI 14:18 FAX 215 766 2920 TPL

002/005

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(A))**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO (Fax No. 703-872-8306) or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 of the date shown below

Date: July 30, 2004

*Patti Hespell*  
Patti Hespell

PATENT

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JUL 30 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICATION SERIAL NUMBER	FILED DATE	ATTY DKT NO
09/928,024	10 AUG 01	T741-10
FIRST NAMED INVENTOR	ART UNIT	
ELDERING	2151	
TITLE		EXAMINER
TARGETING ADS TO SUBSCRIBERS BASED ON PRIVACY PROTECTED SUBSCRIBER PROFILES		UNKNOWN

OFFICIAL

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**NOTIFICATION OF ERROR IN PAYMENT OF FEE(S)  
AS A SMALL ENTITY (37 CFR 1.28(c))**

The above noted patent application was filed and prosecuted as a small entity. While the assignee of the patent application is and has always been a small entity (Expanse Networks, Inc is the current assignee of the complete interest), a license was granted to Next Level Communications, Inc for the period of time from December 15, 1999 to January 15, 2002. Applicants erroneously believed that the patent application was still entitled to small entity status during this time frame. Applicant has recently discovered that the license to Next Level Communications, Inc. (possibly a large entity for at least a portion of this time) may have affected their small entity status during this time frame.

Accordingly, Applicant has diligently gathered data related to fees paid as a small entity during the term of the license. The table on the next page summarizes for each applicable action (item and date) the fees paid as a small entity, the current large entity fee, and the current amount owed as a result of small entity payment error.

The above noted patent application is currently entitled to small entity status.

37 CFR 1.28(c) Notification

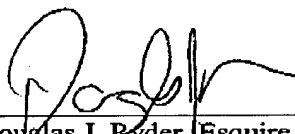
-1-

Patent Application No. 09/928,024

Item	Date	Small Entity Fee Paid	Current Large Entity Fee	Amount to be Paid
Utility Application Filing Fee	8/20/01	\$355	\$770	\$415
Additional Claims Fee (61 claims)	8/20/01	\$549	\$1098	\$549
Additional Ind. Claims Fee (2 claims)	8/20/01	\$80	\$172	\$92
Surcharge - Notice to File Missing Parts	1/7/02	\$65	\$130	\$65
Petition for Ext. of Time	1/7/02	\$55	\$110	\$55
TOTAL				\$1176

Please charge the above noted fees to Deposit Account 501535.

Respectfully submitted,

  
Douglas J. Ryder, Esquire  
Reg. No. 43,073

Date: July 30, 2004

Expanse Networks, Inc.  
6206 Kellers Church Road  
Pipersville, PA 18947  
Phone: (215) 766-2100  
Fax: (215) 766-2920

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1176.00)

**Complete if Known**

Application Number	09/928,024
Filing Date	10 AUG 2001
First Named Inventor	ELDERING
Examiner Name	UNKNOWN
Art Unit	2151
Attorney Docket No.	T741-10

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number

501535

Deposit Account Name

EXPANSE NETWORKS, INC.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
81	-81** =		
5	-5** =		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,460	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility Issue fee (or reissue)	
1502 480	2502 240	Design Issue fee	
1503 640	2503 320	Plant Issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)		37CFR 1.28(c) Notification	
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ 1176.00)			1176.00

**SUBMITTED BY**

Name (Print/Type)

Douglas J. Ryder

**Registration No.**

(Attorney/Agent)

43,073

**(Complete if applicable)**

Telephone 215-766-2100

Date

07/30/04

Signature

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PTO/SB/17 (10-03)

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TOTAL AMOUNT OF PAYMENT (\$ 1176.00)

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Filing Date	10 AUG 2001
First Named Inventor	ELDERING
Examiner Name	UNKNOWN
Art Unit	2151
Attorney Docket No.	T741-10

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

501535

EXPANSE NETWORKS, INC.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

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**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

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1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) 37CFR 1.28(c) Notification

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1176.00)

**SUBMITTED BY**

Name (Print/Type) Douglas J. Ryder

Signature

Registration No. 43,073  
(Attorney/Agent)

(Complete if applicable)

Telephone 215-766-2100

Date 07/30/04

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